

14.7: Extrema for Smooth Functions $f(x, y)$

Procedure: Local extrema and saddle points

1. Find all Critical Points: $f_x = 0$ and $f_y = 0$
2. Use Second Derivative Test at each CP (a, b)

$$D = \begin{vmatrix} f_{xx} & f_{xy} \\ f_{xy} & f_{yy} \end{vmatrix} = f_{xx}f_{yy} - (f_{xy})^2$$

D is the determinant of the matrix with 2nd partial derivatives

- (a) $f(a, b)$ is a local minimum if $D(a, b) > 0$ and $f_{xx} > 0$
- (b) $f(a, b)$ is a local maximum if $D(a, b) > 0$ and $f_{xx} < 0$
- (c) (a, b) is a saddle point if $D(a, b) < 0$
- (d) Second Derivative Test is inconclusive if $D(a, b) = 0$

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Absolute extrema of $f(x, y)$ on a closed and bounded region D

- **Closed:** D includes all its boundary points
- **Bounded:** " D does not extend to infinity"
- **Absolute minimum:** all points on D have a larger or equal f value
- **Absolute maximum:** all points on D have a smaller or equal f value

Remarks

- The Extreme Value Theorem for $f(x, y)$ (Th. 8), guarantees an absolute max and min exists for closed and bounded regions D and continuous f
- No absolute max and/or min needs to exist if D is not closed or not bounded

Example $f(x, y) = x^2 + y^2$ on \mathbb{R}^2 has an absolute min but no absolute max:

$$f \rightarrow \infty \text{ as } x \text{ or } y \rightarrow \infty$$

- Absolute max/min are also called global max/min

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Procedure: **Absolute extrema** on closed and bounded regions

1. Find candidates for absolute maxima and minima

(a) **Critical points on region:** $f_x = 0$ and $f_y = 0$

(b) **Critical points on boundary curves** $y = Y(x)$ or $x = X(y)$

(i) Reduce $f(x, y)$ to function of 1 variable: substitute boundary curve

(ii) Find extrema of function of 1 variable: $f(x, Y(x))$ or $f(X(y), y)$

(c) **Endpoints of boundary curves**

2. Find absolute max and min: Largest and smallest f value in candidates