

# Bott Periodicity, algebro-geometrically

Monday September 27, 2021



Schubert Seminar



joint work with

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and

Jim Bryan



at a crossroads of mathematics

# What is Bott Periodicity?

- one of the fundamental results in topology and K-theory
- so fundamental that it looks like it comes from algebraic geometry

You may have heard of it in the following context:

Let  $O(\infty)$  be the "infinite orthogonal group"

$$\pi_0(O(\infty)) = \mathbb{Z}/2$$

$$\pi_1(O(\infty)) = \mathbb{Z}/2$$

$$\pi_2(O(\infty)) = 0$$

$$\pi_3(O(\infty)) = \mathbb{Z}$$

$$\pi_4(O(\infty)) = 0$$

$$\pi_5(O(\infty)) = 0$$

$$\pi_6(O(\infty)) = 0$$

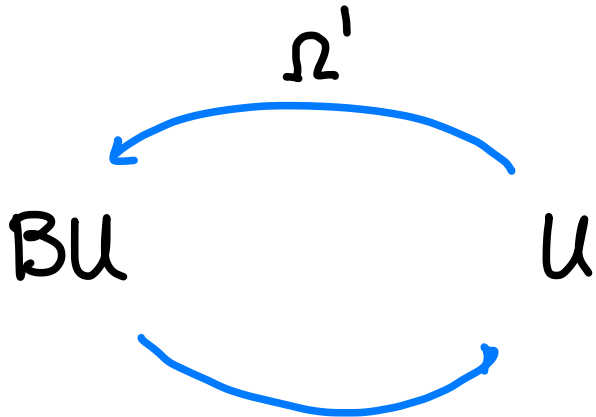
$$\pi_7(O(\infty)) = \mathbb{Z}$$

and then it repeats mod 8.

(and similarly for  $Sp(\infty)$ )

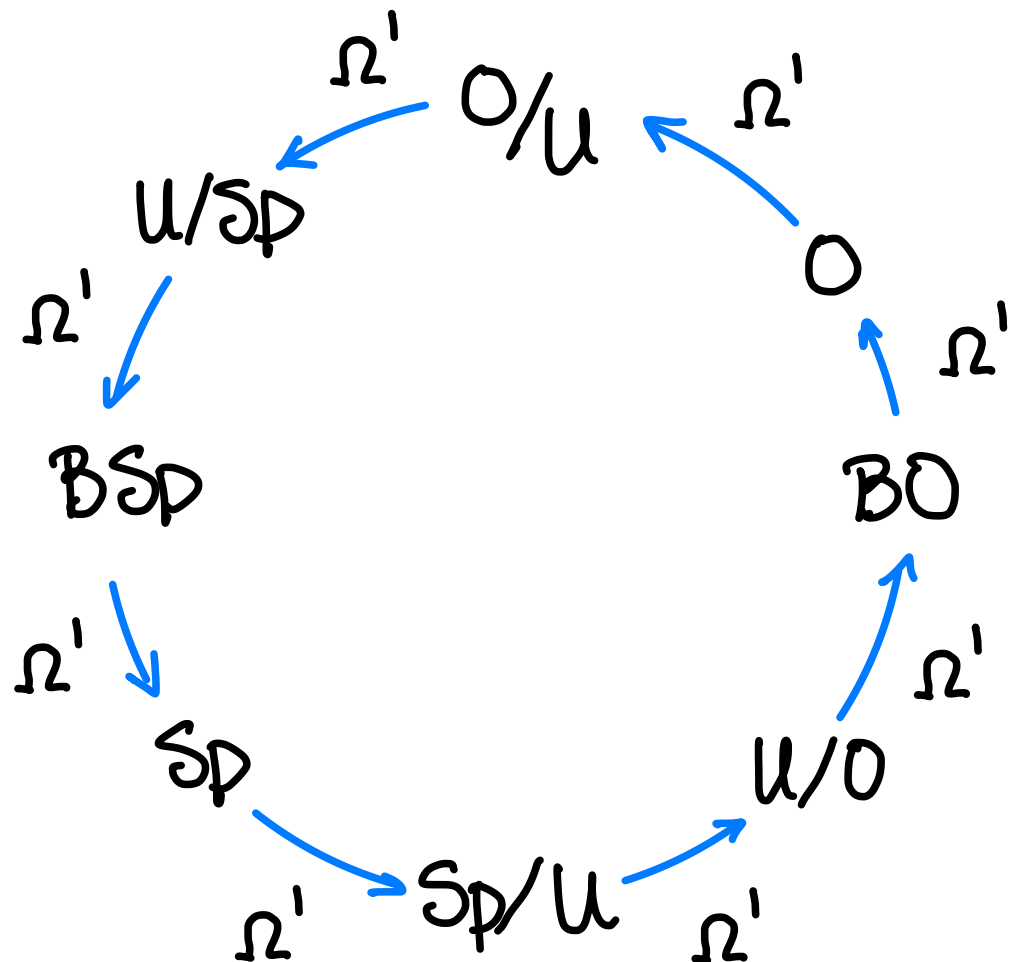
$O(100)$  "approximates"  
 $O(\infty)$ .

More fundamentally,



"based loops", not  
differentials

(don't worry, I'll explain soon)



# Plan for the hour:

first third:

"complex" Bott periodicity:

$$\Omega^2(BU) \xrightarrow{\sim} BU$$

statement and proof

with

Hannah

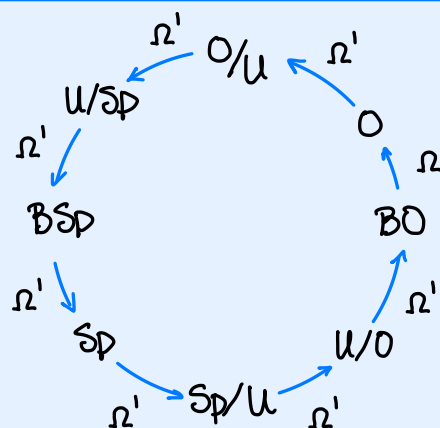
Larson

second third: defining the words  
in the statement and proof

final third:

"real"

Bott periodicity



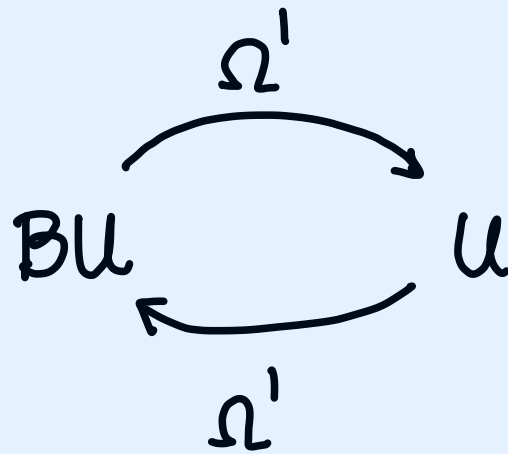
with

Jim

Bryan

Our first goal:

Bott Periodicity, take one



## Cheerful Fact

$GL(n)$  deformation retracts onto  $U(n)$ .

Reason:

$$M = QR$$

unitary

uppertriangular

(Use Gram-Schmidt with the Hermitian inner product to turn the columns of  $M$  into a Hermitian basis.)

Homotope  $R$  to  $I$ .



# What do I mean by GL?

$GL(1) \hookrightarrow GL(2) \hookrightarrow GL(3) \hookrightarrow \dots$

$(::)$

$\begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ 0 & 0 & 1 \end{pmatrix}$

GL: — colimit of  $GL(n)$ 's,  
— think "infinite matrix,  
mostly identity"

— think "finite matrix,  
agnostic about the  
size"

$\begin{pmatrix} 3 & 1 & 0 & 0 & \dots \\ 2 & 0 & 0 & 0 & \dots \\ 0 & 0 & 1 & 0 & \dots \\ 0 & 0 & 0 & \ddots & \dots \end{pmatrix}$

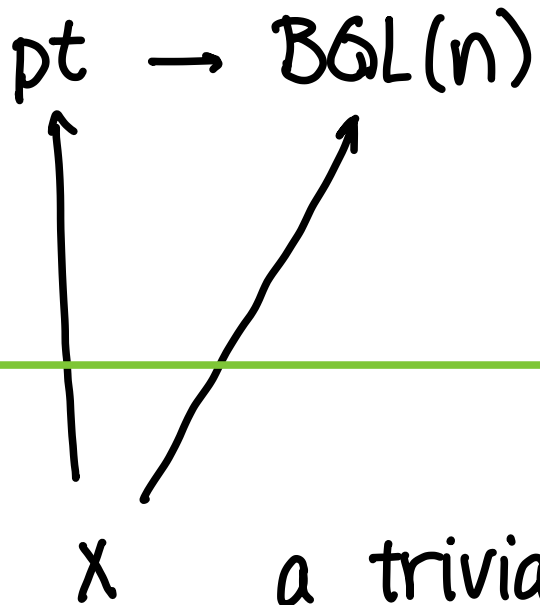
$\begin{pmatrix} 3 & 1 \\ 2 & 0 \end{pmatrix}$

$\uparrow$

What is  $BGL(n)$ ?

the moduli space of rank  $n$  vector bundles

Given  $X$ , the data of a rank  $n$  vector bundle on  $X$  is the data of a morphism  $X \rightarrow BGL(n)$ .



a vector bundle on  $pt$  —  
a vector space  $U$ , say  
dim  $n$ .

$X$  a trivial vector bundle " $X \times U \rightarrow X$ "

Practice with the concept:

$BGL(n) \rightarrow BGL(n+1)$  translates to:

take a rank  $n$  vector bundle  
and  $\oplus$  a trivial bundle

$$\mathcal{E} \longrightarrow \mathcal{E} \oplus \mathcal{O}$$

## Bott Periodicity:

$$\beta: \boxed{\Omega^2(\text{BGL})} \xrightarrow{\sim} \boxed{\text{BGL}} \quad \leftarrow \mathbb{Z}$$

What does  $\Omega^2$  mean?



"loops - 2 with base point maps to ..."

"sphere with a marked point maps to ..."

'CP' with a marked point  $p_0$  maps to ..."

"P" with the marked point  $p_0$  maps to ..."

(For experts:  $\Omega^2 \text{BGL}$  "is" the affine Grassmannian.)

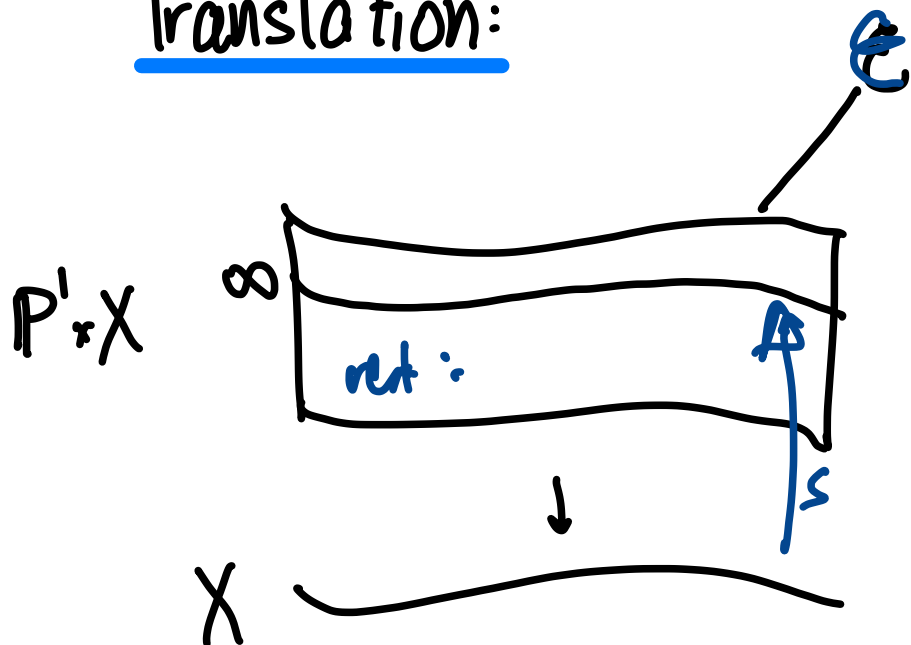
Goal:

define  $\beta$ , and show it is an isomorphism

Translation: I give you a map  $X \rightarrow \Omega^2(BGL)$

you tell me a map  $X \rightarrow BGL$ .

Translation:



vector bundle

tell me a bundle  
on  $X$

$s^*E = \text{trivial bundle}$

Answer if  $\pi_* \mathcal{E}$  if  $\mathcal{E}$  is "nonnegative" ↗

$\equiv$  generated by global sections

$$\equiv \mathcal{E} = \underbrace{\mathcal{O}(a_1) \oplus \dots \oplus \mathcal{O}(a_n)}_{\text{global sections}} \quad \underbrace{a_i \geq 0}_{\text{nonnegative}}$$

But 1) we were agnostic about the rank of  $\mathcal{E}$ . What if we pushed forward  $\mathcal{E} \oplus \mathcal{O}$  instead?

Answer: 
$$\boxed{\pi_*(\mathcal{E} \oplus \mathcal{O})} = \boxed{\pi_* \mathcal{E}} \oplus \boxed{\pi_* \mathcal{O}}$$
$$= \boxed{\pi_* \mathcal{E}} \oplus \boxed{\mathcal{O}}$$

2) More seriously: What if  $\mathcal{E}$  weren't positive? ←

Answer: twist it until it is!

Use  $\pi_* \mathcal{E}(k)$  instead.

To make this work:

Proposition (false as stated):

If  $\mathcal{E}(-1)$  is nonnegative then  $\pi_* \mathcal{E}(-1) = \pi_* \mathcal{E}$ .

Proof:

$$0 \rightarrow \mathcal{E}(-1) \rightarrow \mathcal{E} \rightarrow \mathcal{E}|_{P_0} \rightarrow 0.$$

$$0 \rightarrow \pi_* \mathcal{E}(-1) \rightarrow \pi_* \mathcal{E} \rightarrow \underbrace{\quad}_{\text{trivial bundle}} \rightarrow 0$$

$$\Omega^2 \text{BGL} \rightarrow \text{BGL}$$

Magic:

This construction is basically reversible!

Why? How?

Leonardo Mihalcea to the rescue!

Strømme

Here come three pages explaining this.

(Stick with me, or at least come back after.)



Consider the vector bundles  $\mathcal{E}$  on  $\mathbb{P}^1$ , satisfying:

– degree  $d$ , rank  $n$

$$\mathcal{E} = \bigoplus \mathcal{O}(+).$$

– “nonnegative”:  $h^1(\mathcal{E}(-i)) = 0 \implies$

$$h^0(\mathcal{E}(-i)) = dn \quad (A = H^0(\mathcal{E}(-i))), \quad h^0(\mathcal{E}) = (d+1)n$$

– “trivialized @  $\infty$ ”. fiber over  $\infty$  is identified with  $U$  (of rank  $n$ )

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So far: a dense open subset of  $\Omega_d^2(\mathrm{BGL}_n)$

Now add:

– choice of sections of  $\mathcal{E}$  giving  $U$ :

$$H^0(\mathcal{E}) \xrightarrow{\quad} H^0(\mathcal{E}|_{\infty}) = U$$

(affine bundle)



Central theme of mathematics:

Turn complicated things into  
linear algebra

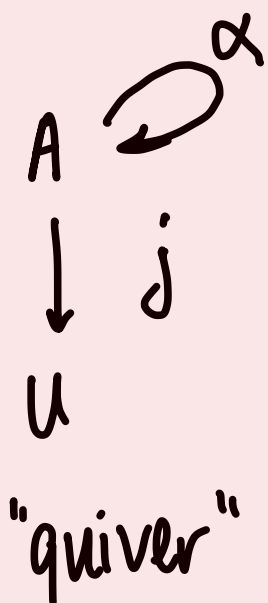
# Strömme:

We can recover  $\mathcal{E}$  from the following linear algebra data.

think:  $H^0(\mathcal{E}(-1))$

think:  $\mathcal{E}|_{\mathbb{P}^0}$   $\leftarrow$   $A$  is a vector space of dimension  $d_n$   
 $U$  is a fixed vector space of dimension  $n$ .

Choose two linear maps:



"quiver"

Let  $\underline{A} = A \otimes \mathcal{O}_{\mathbb{P}^1}$ ,  $\underline{U} = U \otimes \mathcal{O}_{\mathbb{P}^1}$  be trivial bundles on  $\mathbb{P}^1$

$$0 \rightarrow \underline{A}(-1) \xrightarrow{\sigma} \underline{A} \oplus \underline{U} \rightarrow \mathcal{E} \rightarrow 0$$

$$\sigma = \begin{pmatrix} \text{Id}_A x_0 - \alpha x_1 \\ j x_1 \end{pmatrix}$$

(open condition:  $\text{coker } \sigma$  should be a bundle)

Translation 1: The moduli space of such vector bundles is the space of such matrices (satisfying this explicit open condition) modulo the group  $GL(A)$ .

Translation 2:

$\Omega_{\geq 0}^2 BGL(n) = \text{open in affine bundle over } BGL(A)$

Translation 3:

$$0 \rightarrow \pi^* (\pi_* \mathcal{E}(-1))(-1) \rightarrow \pi^* \pi_* \mathcal{E} \rightarrow \mathcal{E} \rightarrow 0$$

## Part II Making this make sense.

We want to work in a setting with some kind of  
algebraic-geometric spaces

### Properties:

- work over  $\mathbb{Z}$ , or  $\mathbb{C}$ , or  $k$ , or  $\mathbb{R}$   
(any reasonable base), and be able to change base
- if  $/\mathbb{C}$ , want to be able to take homotopy types  
(so as to generalize topological statements)
- also: Chow rings, Hodge theory, Grothendieck ring,  
étale homotopy, etc.
- we need Artin stacks, and colimits

In a nutshell:

– start with reasonable spaces (smooth irreducible nice Artin stacks, if you care)

– define when a map is "an isomorphism up to high codimension" / "highly connected":

a class of morphisms "iso-codim<sub>k</sub>".

Definition: ①  $V \rightarrow Y$  vector bundles }  $\in$  iso-codim k  
                        ↙ sections

②  $U \hookrightarrow Y$  open embeddings with  $\text{codim}(Y \setminus U) \geq k$   
 $\in$  iso-codim k

③  $\begin{array}{ccc} X & \longrightarrow & Y \\ & \searrow & \swarrow \\ & z & \end{array}$  2 out of 3 in iso-codim k  $\Rightarrow$  3rd is too.

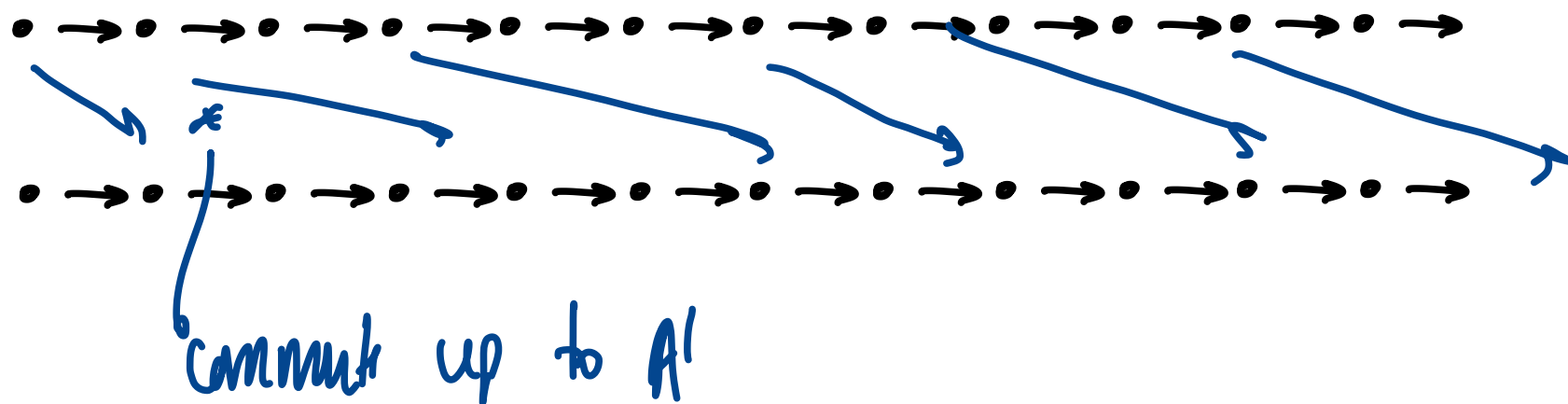
② objects of our new category  $\mathcal{G}_0$  are "Cauchy sequences"

(convergent sequences):

$$X_1 \xrightarrow{f_1} X_2 \xrightarrow{f_2} X_3 \rightarrow \dots$$

$f_n \in \text{isocodim}_{k(n)}$  with  $k(n) \rightarrow \infty$

③ Morphisms in  $\mathcal{G}_0$ : maps of Cauchy sequences



#### ④ (Whitehead condition)

Maps of Cauchy sequences

that are in  $\text{isocodim}_k \forall k$

are declared to be isomorphisms

This definition is contrived

to make the proof work without change.



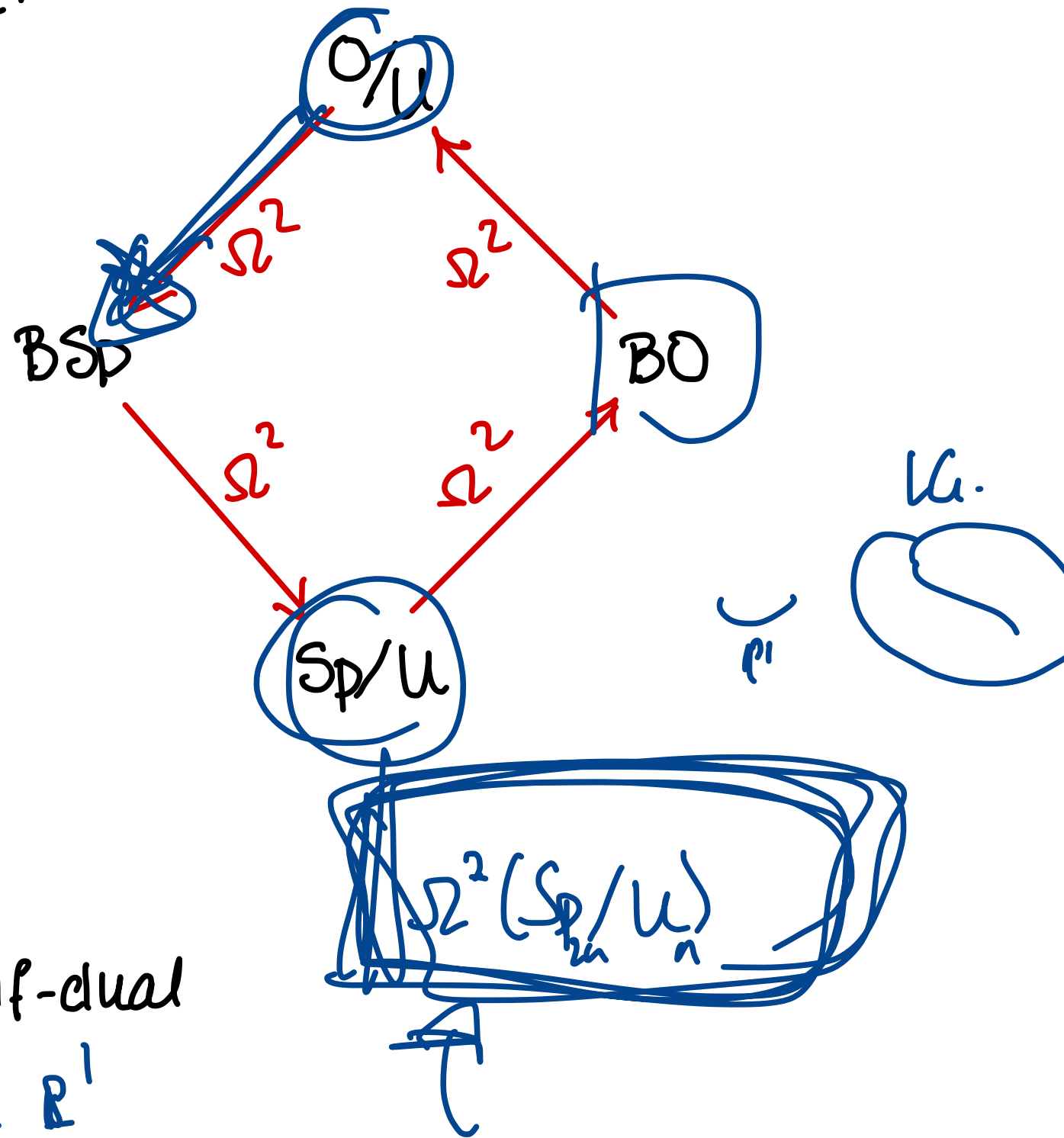
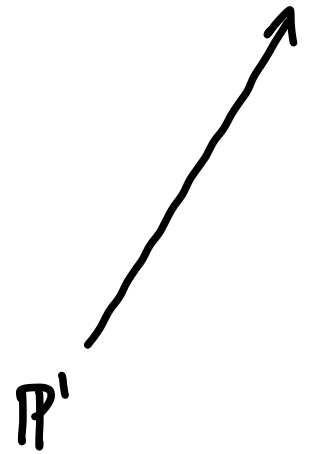
(with Jim Bryan)

Bott Periodicity: the period-eight case.

$\mathbb{Q}$

$$\phi: V \rightarrow V^v \quad \phi^v = \mp \phi.$$

Sp/U:  $SP_{an} / OL_n$  the isotropic Lagrangian Grassmannian



Maps  $P^1$  to Sp/GL:

$$0 \rightarrow \mathcal{E}^v \rightarrow \underline{U} \oplus \underline{U}^v \rightarrow \mathcal{E} \rightarrow 0$$

$\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \xrightarrow{\phi}$

self-dual

bundles on  $\mathbb{R}^1$

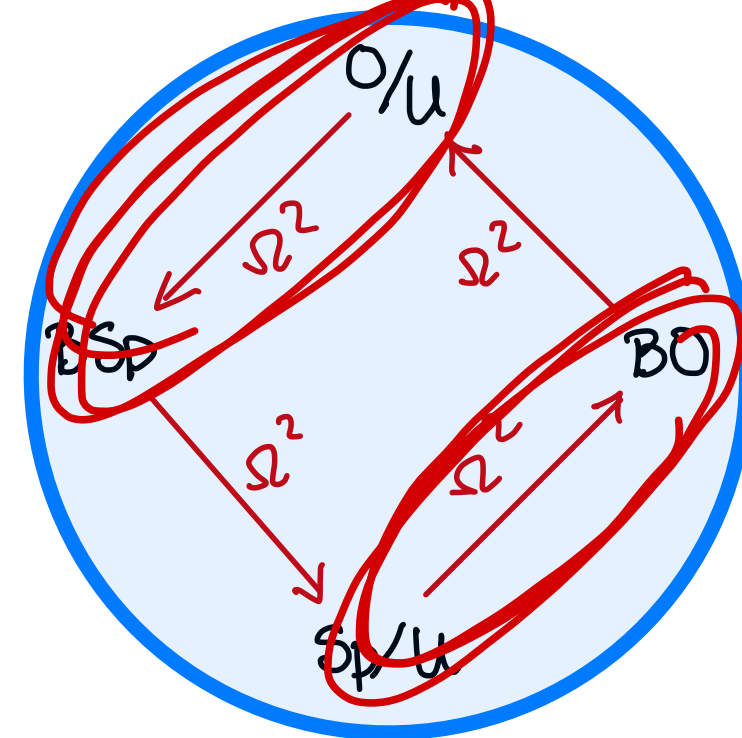
From this, I need to tell you a vector space with a symmetric pairing.

Maps  $P^1$  to  $Sp/GL$ :

$$0 \rightarrow \mathcal{E}^V \rightarrow \underline{U} \oplus \underline{U}^V \rightarrow \mathcal{E} \rightarrow 0 \quad \text{self-dual}$$

$$\begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix} \quad \text{(bundles on } P^1)$$

$\delta$



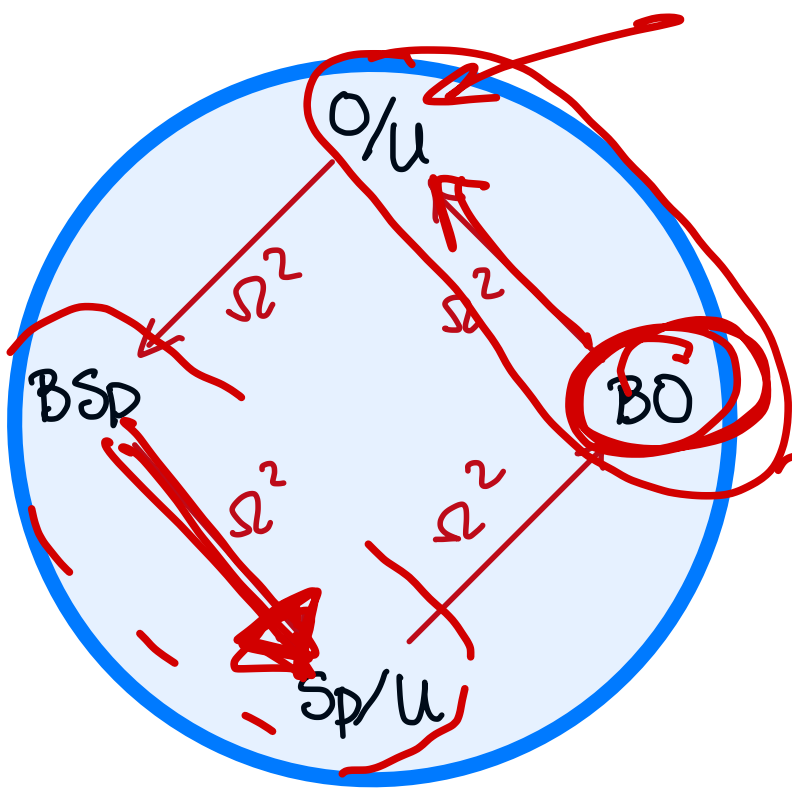
From this, I need to tell you a vector space with a symmetric pairing.

$$0 \rightarrow \mathcal{E}^V(-1) \rightarrow \underline{U}(+1) \oplus \underline{U}^V(-1) \rightarrow \mathcal{E}(-1) \rightarrow 0.$$

Take the long exact sequence in cohomology.

$$0 \rightarrow H^0(\mathcal{E}(-1)) \rightarrow \underbrace{H^1(\mathcal{E}^V(-1))}_{H^0(\mathcal{E}(-1))^V} \rightarrow \text{circled } \mathbb{C}$$

$$A \rightarrow A^V$$



Given a map  $P^1 \rightarrow BO$  I need to tell you a map to the/an isotropic maximal Grassmannian

$$\begin{array}{c}
 \mathcal{E} \\
 | \\
 P^1
 \end{array}
 \text{ rank } n \quad \mathcal{E} = \theta(-3) \oplus \theta(3)$$

$$\phi: \mathcal{E} \xrightarrow{\sim} \mathcal{E}^\vee \quad \phi^\vee = \phi$$

Restrict

On locus where  $\mathcal{E}(k)$  is non-negative:

$$0 \rightarrow \mathcal{E}(-k-1) \xrightarrow{\cdot x_i} \mathcal{E}(k-1) \rightarrow \mathcal{E}(k-1)/\mathcal{E}(-k-1) \rightarrow 0$$

$$0 \rightarrow H^0(\mathcal{E}(k-1)) \rightarrow H^0(\mathcal{E}(k-1)/\mathcal{E}(-k-1)) \rightarrow H^1(\mathcal{E}(-k-1))$$

$\approx H^0(\mathcal{E}(k-1))^\vee$

$\lambda$

# Conclusion:

The maps in Bott Periodicity, which often seem to be thought to need to be defined by analytic means, in fact are completely and naturally algebraic and elementary.

